



The City of Auburn  
Community Development Block Grant

FY2017 Annual Action Plan

Community Development  
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## Executive Summary

### AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

#### 1. Introduction

This Action Plan sets forth a specific plan for use of Community Development Block Grant (CDBG) funds that are expected to be available during the coming fiscal year (FY17), determines goals for individuals and households to be served, and describes the implementation plan guiding the activities and other actions to be undertaken. CDBG is a flexible program that provides communities with resources to address a wide range of unique community development needs, principally benefiting low to moderate-income (LMI) households and individuals. This document is to be submitted to the U. S. Department of Housing and Urban Development (HUD) in fulfillment of the requirements for the Annual Action Plan set forth in 24.CFR §91.200(c) and §91.220(b).

This plan was produced by the Community Development Division of the Economic Development Department of the City of Auburn, which is responsible for overseeing the Annual Action strategy planning process. The Annual Action Plan is an outcome of and is based upon needs assessments and market analysis contained in the Five Year (2015-2019) Consolidated Plan.

The CDBG staff contacted all the relevant City Departments in determining community development needs specific to the plan. The staff also contacted and interviewed governmental and private agencies for Housing and Public Service needs of Auburn. Data used in formulating the housing, homeless and special needs priorities was obtained from area social service providers. The Auburn Housing Authority (AHA) was especially helpful in sharing information regarding public and assisted housing details.

Overall, the goal of HUD's community planning and development programs (of which CDBG is a part) is to develop viable urban communities by providing decent housing and a suitable living environment, and expanding economic opportunities principally for low and moderate-income persons. The intent of this Action Plan is to provide details on the programs set forth to be undertaken during the upcoming CDBG program year (2017 fiscal year) toward meeting that goal.

The FY17 Action Plan proposed budget is:

Program administration: \$92,700

Public improvement: \$100,000

Housing: \$144,289

Land acquisition: \$216,577

Public service for temporarily displaced: \$16,000

Public services - youth: \$27,000

Public services - family: \$12,000

Public services - seniors: \$4,000

Expected allocation: \$512,566 (and estimated \$100,000 program income)

## **2. Summarize the objectives and outcomes identified in the Plan**

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The primary source of funding to address the needs identified in the Action Plan, as stated above, is the CDBG program. As such there are certain National Objectives that must be observed. To the maximum extent feasible these objectives are:

- Benefit low- and moderate-income families
- Aid in the prevention or elimination of slums or blight
- Other community development needs having a particular urgency posing a serious and immediate threat to the health or welfare of the community

Federal regulations governing the CDBG Program place emphasis on the national objective of “benefiting low and moderate-income persons”. Grantees are required to expend at least seventy (70%) of CDBG funds on such activities. The activities being proposed in this Annual Action Plan are one hundred percent (100%) intended to meet the needs of low and moderate-income persons.

As identified in Section NA-10 “Housing Needs Assessment” of the Five Year Consolidated Plan, the greatest problem impacting the housing needs of the City of Auburn is cost burdened households. As explained in the Consolidated Plan, the rapid economic growth of the City has created upward pressures on housing costs and property values in general due to increased demand.

This plan proposes to continue providing financial assistance to qualified households in areas such as housing rehabilitation and supportive services. Housing rehabilitation activities will generally assist eight to ten housing units during a program year, and supportive services will assist approximately 250 low- and moderate-income individuals (LMI).

In addition, the Community Development Division will acquire and subdivide approximately 2.3 acres of vacant property for the purpose of constructing new affordable single-family homes. It is anticipated that this acquisition will provide residential lots for the future construction of six to seven new affordable housing units.

Lastly, a portion of CDBG funds will be utilized to pave streets within the low-income North Auburn neighborhood. Public improvements are identified as a high priority based upon the “Non-Housing Community Development Needs” section NA-50 of the Consolidated Plan.

### **3. Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The Economic Development Department of the City of Auburn has been successful in implementing an effective economic and community development programs. Economic growth has increased along with the gains in the City of Auburn population. The economic development efforts have been singularly successful at growing economic opportunity to the residents of the Auburn area and the area median income has continued to improve. Also related to the economic growth has been an increased housing demand which has driven up values of existing housing and available raw land. This is a challenge to the City, to continue the economic success while being able to provide housing that is decent and affordable for its low-income residents.

During the prior (FY 2016) program year, the City programmed a substantial amount of CDBG funds to undertake a Public Improvement activity, the renovation of Boykin Community Center. In addition to program year 2016 funding, the City also reprogrammed unspent funds from prior years to assist in implementing this costly project. The Boykin activity provided the City a way to expend CDBG funds that had previously been programmed for construction of affordable housing, but due to lack of available building lots no housing construction was undertaken. This created a problem with the expenditure rate of its CDBG funds that could have led to censure by HUD and a possible loss of funding. Expenditures on the Boykin project have corrected this problem.

The Community Development Division (CDD) has now identified property that it feels may be acquired and subdivided to provide lots for the construction of affordable housing. CDD has partnered successfully during past years with the North Auburn Housing Development Corporation - NAHDC (a Community Based Development Organization) and has managed effectively the housing repair and maintenance programs. The majority of CDBG funding provided over the previous Consolidated Planning period has been expended through NAHDC in constructing quality affordable single-family housing units to market to low and moderate-income first-time homebuyers. With its planned acquisition of property under this Annual Action Plan, it is hoped that the partnership with NAHDC will be revived and additional affordable homes will be forthcoming.

It is recognized by the Community Development Division that affordable housing is still a top priority for the City of Auburn. At the same time, there are federal grant requirements that CDBG funds be expended in a timely manner. To spend fund in this manner and serve the needs of the area, public improvements projects will also be identified and funded. Paving of streets in LMI census tract and block group are slated to be completed during the period covered by this Action Plan.

#### **4. Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

The City has adopted and adheres to the Citizen Participation Plan (CPP) that complies with federal requirements. The Community Development staff held public hearings and published and posted notices and summaries of the FY2017 Action Plan and proposed use of funds. As part of the 2015-2019 Consolidated Plan it managed and conducted a citizen survey to obtain citizen views on community development needs.

## **5. Summary of public comments**

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

Public comments can be summarized as primarily dealing with housing issues, public improvement and facilities, and specific public services. Most questions and concerns were applicable to CDBG eligible proposals. Participants, generally, were interested in a description of national objectives and eligible activities. The Boys and Girls Club representative expressed concern that Boykin Community Center renovations would not allow them space needed for summer activities.

## **6. Summary of comments or views not accepted and the reasons for not accepting them**

As a result of the Citizen Participation process, no comments or views were offered which gave suggestions or proposals that were not accepted.

## **7. Summary**

The City has established its main priority to be the provision of and/or preservation of affordable housing. As well, the Community Development Division will oversee the completion of Boykin

renovations and fund the much needed public improvements that will benefit a low-income, mostly minority populated neighborhood. The Community Development Division will be involved in funding these projects to the extent it has funding to do so.

Particular emphasis is also given to economic development special activities and industrial training as a means of providing economic opportunity for low to moderate-income citizens. This relates to the strategy of affordable housing in the sense that resources to provide affordable housing are extremely limited and largely negligible in the face of the amount of need. Improving family incomes is one means of making housing more affordable to more households.

(See attached maps of Auburn area and activities)

**PR-05 Lead & Responsible Agencies – 91.200(b)**

**1. Agency/entity responsible for preparing/administering the Consolidated Plan**

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	AUBURN	Todd M. White

**Table 1 – Responsible Agencies**

**Narrative (optional)**

**Consolidated Plan Public Contact Information**

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## **AP-10 Consultation – 91.100, 91.200(b), 91.215(I)**

### **1. Introduction**

During the preparation of the Action Plan, the Community Development Division Staff consulted with the Auburn Housing Authority (AHA), other housing professionals, and support service agencies. Consultation was also had with state and local governmental entities.

#### **Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))**

The Boykin Community Center Renovation Project (funded in the last program year and to be completed in FY2017) is forecast to enhance the ability of supportive service agencies to coordinate activities and offer services in a centralized location in a low-income census tract and block group (CT-406.02, BG-2)

Coordination and communication between service agencies across several LMI block groups and funding to assisted housing providers is encouraged as a means of providing affordable housing and necessary services to low-income families and individuals, as the need for affordable housing and services often overlap. The City will support additional affordable housing options and special supportive service programming in fiscal year 2017.

#### **Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

The Continuum of Care agency serving the City of Auburn is the Alabama Rural Coalition for the Homeless (ARCH), which also has a statewide mission (Forty-two counties - 42). Staff of the Community Development Division met with representatives of ARCH to ascertain the existing and planned resources that are available to meet the needs of those experiencing homelessness in the City of Auburn. ARCH currently looks for ways to provide housing through Permanent Supportive Housing and Emergency Solution Grants to families and individuals in the City.

Lacking the funding from the CDBG program to significantly impact the provision of supportive housing, or short and long term displacement assistance, the City will support appropriate applications for displacement assistance funding by the Continuum of Care agency.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

The City of Auburn is not an entitlement recipient of Emergency Solution Grant (ESG) funds due to its population. Any entity applying for ESG funding must apply under the balance of state ESG funds administered and allocated through the Alabama Department of Economic and Community Affairs (ADECA). The City would support an application by the Continuum of Care organization, should the CoC determine such a need exist, provided it complied with the City's zoning, subdivision, and planning ordinances.

Not being an ESG grantee the City has no role in the administration of the Homeless Management Information System (HMIS).

**2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities**

**Table 2 – Agencies, groups, organizations who participated**

1	<b>Agency/Group/Organization</b>	AUBURN HOUSING AUTHORITY
	<b>Agency/Group/Organization Type</b>	PHA
	<b>What section of the Plan was addressed by Consultation?</b>	Public Housing Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The Auburn Housing Authority works closely with the City of Auburn's Community Development Division. The housing authority will utilize CDBG funding for family supportive services among its residents.
2	<b>Agency/Group/Organization</b>	LEE COUNTY ALABAMA HABITAT FOR HUMANITY
	<b>Agency/Group/Organization Type</b>	Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Habitat for Humanity works closely with the City of Auburn's Community Development Division. The City will utilize Habitat as a affordable housing resource.
3	<b>Agency/Group/Organization</b>	North Auburn Housing Development Corp.
	<b>Agency/Group/Organization Type</b>	Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	NAHDC works closely with the City of Auburn's Community Development Division. NAHDC ,as an affordable housing CBDO, will utilize CDBG funding for new home design and development.
4	<b>Agency/Group/Organization</b>	Presbyterian Community Ministry
	<b>Agency/Group/Organization Type</b>	Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	PCM works closely with the City of Auburn's Community Development Division. PCM will utilize CDBG funding for emergency housing rehabilitation and repair services.
5	<b>Agency/Group/Organization</b>	EAST ALABAMA FOOD BANK
	<b>Agency/Group/Organization Type</b>	Services-Elderly Persons
	<b>What section of the Plan was addressed by Consultation?</b>	Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The Food Bank works closely with the City of Auburn's Community Development Division. The Food Bank will utilize CDBG funding for senior supportive services providing brown bag lunches.
6	<b>Agency/Group/Organization</b>	BOYS AND GIRLS CLUB OF LEE COUNTY
	<b>Agency/Group/Organization Type</b>	Services-Children
	<b>What section of the Plan was addressed by Consultation?</b>	Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The Auburn's Boy's and Girl's Club works closely with the City of Auburn's Community Development Division. The B/G Club will utilize CDBG funding for youth supportive services for Auburn's LMI youth. .
7	<b>Agency/Group/Organization</b>	AUBURN DAYCARE CENTER
	<b>Agency/Group/Organization Type</b>	Services-Children
	<b>What section of the Plan was addressed by Consultation?</b>	Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Auburn Daycare works closely with the City of Auburn's Community Development Division. The Auburn Daycare will utilize CDBG funding for youth supportive services among participants of the daycare and afterschool youth.
8	<b>Agency/Group/Organization</b>	Unity Wellness
	<b>Agency/Group/Organization Type</b>	Services-Persons with HIV/AIDS

	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The Unity Wellness works closely with the City of Auburn's Community Development Division. The Unity Wellness will utilize CDBG funding for supportive services to at risk of displacement.
9	<b>Agency/Group/Organization</b>	SALVATION ARMY
	<b>Agency/Group/Organization Type</b>	Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The Salvation Army works closely with the City of Auburn's Community Development Division. The Salvation Army will utilize CDBG funding for supportive services to at risk of displacement.
10	<b>Agency/Group/Organization</b>	JOYLAND DAY CARE CENTER
	<b>Agency/Group/Organization Type</b>	Services-Children
	<b>What section of the Plan was addressed by Consultation?</b>	Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Joyland Daycare works closely with the City of Auburn's Community Development Division. The Joyland Daycare will utilize CDBG funding for youth supportive services among participants of the daycare and afterschool youth.

**Identify any Agency Types not consulted and provide rationale for not consulting**

No agencies were intentionally left out of the consultation process. However, the following agencies generally responding to requests for funding did not contact CDD for applications and did not respond to request for consultation: True Deliverance Church, Oak Park, The Domestic Violence Center, Lee County Youth Development Center, and Rebuilding Together.

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Alabama Rural Coalition for the Homeless (ARCH)	Services to homeless and organizes annual point-in-time count. Works to increase funding to state-wide homeless population.
Roads and Highways	Metropolitian Planning Organization (MPO)	Improved planning and transportation services to the greater Lee County area.
Affordable Housing	Alabama Housing Finance Authority (AHFA)	Consulted with AFHA on affordability incentives at the State and Local levels
Area Agency Plan/ LRCOG	Lee-Russell Council of Governments	Lee Russell participates in transportation services and transit solutions. Provides LMI and Senior services. Encourages economic development with an active RLF.

**Table 3 – Other local / regional / federal planning efforts**

**Narrative (optional)**

## **AP-12 Participation – 91.105, 91.200(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting**

The City of Auburn has adopted and adheres to a Citizen Participation Plan (CPP) that complies with Federal regulations set forth at 24 CFR 1.105 and 91.200 (c). In December 2016, published newspaper ads, dissemination of emails and website notices informed citizens and agencies of the estimated scope of funding to be available in the upcoming CDBG program year 2017.

A public hearing followed this advertisement on January 11, 2017 for the purpose of addressing citizen and agency questions and concerns related to the funding availability and upcoming 2017 program year entitlement allocation. There was citizen's participation. (See attached citizen comments from public hearing).

In December 2016, HUD announced (via CPD Notice 16-18) 2017 Action Plans should not be submitted in IDIS prior to 2017 allocations being published. In the same notice, HUD reminded grantees of the requirements related to development of Action Plans and Citizen Participation during the interim.

The City of Auburn's block grant program for fiscal year 2016 ended May 31, 2017. By the end of May, the Federal Government had not completed its budget process, and no allocation of CDBG funds for entitlement grantees had been published.

In order to provide language to address the difference in the estimated amount of CDBG funds and the eventual final HUD allocation of CDBG, another notice informing the public of how any increase or decrease in available funds would be handled in the final version of the Action Plan was published May 25, 2017.

The Action Plan 2017 draft with the proposed activities was presented and published in a paper of local distribution on May 25, 2017. Additional public hearings were held June 5, 2017 at 10:00 AM and 5:30 PM at the Frank Brown Community Center, 235 Opelika Road, Auburn to encourage public participation. There was no attendance at either public hearing. The HUD notice setting forth the City's FY17 CDBG allocation was received on June 14, 2017 and changes to the Annual Plan, reflecting a small increase in the City's CDBG allocation, were made in accordance with the previously published public notice. The citizen comment period ended as of June 19, 2017.



**Citizen Participation Outreach**

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
1	Newspaper Ad	Non-targeted/broad community	N/A	N/A	N/A	
2	Public Hearing	Non-targeted/broad community	General discussion held on CDBG funding availability, national objectives, eligible activities, and estimated 2017 allocation.	See Citizen Comments attachment	All comments were noted and accepted. No comments were not accepted.	
3	Newspaper Ad	Non-targeted/broad community	N/A	N/A	N/A	
4	Public Hearing	Non-targeted/broad community	No citizen attendance	No citizen attendance	No citizen attendance	

**Table 4 – Citizen Participation Outreach**

## Expected Resources

### AP-15 Expected Resources – 91.220(c)(1,2)

#### Introduction

Anticipated resources are reported as follows:

#### Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	512,566	100,000	0	612,566	1,025,513	
Section 108	public - federal	Economic Development	476,000	0	0	476,000	0	

Table 5 - Expected Resources – Priority Table

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

Federal funding will leverage private mortgage funding through a subordinated second mortgage and closing cost assistance to support the purchase of an Auburn home for a qualified LMI family or individual.

**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

No publically owned land or property is available to address the needs identified in this plan. Included in the plan is an activity that will have the City acquire vacant land from a willing seller (utilizing CDBG funds) for use as residential building sites for new affordable single-family units.

**Discussion**

Overall, the goal of HUD’s community planning and development programs (of which CDBG is a part) is to develop viable urban communities by providing decent housing and a suitable living environment, and expanding economic opportunities principally for low and moderate-income persons.

The proposed budget for the FY 2017 Annual Action Plan is as follows:

- Program Administration – Staff salaries and expenses: \$92,700
  - Public Improvement - Northwest Auburn street re-surfacing: \$100,000
  - Housing – Program Delivery and Housing rehabilitation and repairs: \$144,289
  - Public Services - Senior supportive services: \$4,000
  - Public Services - Family supportive services: \$12,000
  - Public Services - Youth supportive services: \$27,000
  - Public Services - Prevention of emergency displacement: \$16,000
  - Property Acquisition- Residential Lots for construction of affordable housing: \$216,577
- TOTAL FUNDING EXPECTED TO BE AVAILABLE: \$512,566**

## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives

#### Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Housing Rehabilitation	2015	2019	Affordable Housing		Rehabilitation-Reconstruction of exiting units	CDBG: \$144,289 Section 108: \$0	Homeowner Housing Rehabilitated: 10 Household Housing Unit
2	Homeless emergency shelter	2015	2019	Homeless		Public Services	CDBG: \$16,000 Section 108: \$0	Homelessness Prevention: 50 Persons Assisted
3	Land Acquisition	2015	2019	Affordable Housing		Acquisition of vacant residential lots	CDBG: \$216,577 Section 108: \$0	Homeowner Housing Added: 6 Household Housing Unit
4	Street improvements	2015	2019	Public Housing Non-Homeless Special Needs Non-Housing Community Development		Public Improvements and infrastructure	CDBG: \$100,000 Section 108: \$0	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 25 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
5	Youth supportive services	2015	2019	Non-Housing Community Development		Public Services	CDBG: \$27,000 Section 108: \$0	Public service activities other than Low/Moderate Income Housing Benefit: 100 Persons Assisted
6	Family supportive services	2015	2019	Non-Housing Community Development		Public Services	CDBG: \$12,000 Section 108: \$0	Public service activities other than Low/Moderate Income Housing Benefit: 100 Persons Assisted
7	Senior supportive services	2015	2019	Non-Homeless Special Needs		Public Services	CDBG: \$4,000 Section 108: \$0	Public service activities other than Low/Moderate Income Housing Benefit: 100 Persons Assisted
8	Program administration	2015	2019	Program administration		CD program Administration	CDBG: \$92,700 Section 108: \$0	

Table 6 – Goals Summary

### Goal Descriptions

1	<b>Goal Name</b>	Housing Rehabilitation
	<b>Goal Description</b>	CDBG funds will support housing emergency repair and rehabilitation programs. Household and delivery of services to eligible applicants related to the housing assistance strategy.

2	<b>Goal Name</b>	Homeless emergency shelter
	<b>Goal Description</b>	
3	<b>Goal Name</b>	Land Acquisition
	<b>Goal Description</b>	The City of Auburn will acquire property for the provision of ready to build residential lots to be conveyed to The North Auburn Housing Development Corporation (NAHDC) a Community Based Development Corporation (CBDO) to be utilized in the design, development, and construction of affordable housing.
4	<b>Goal Name</b>	Street improvements
	<b>Goal Description</b>	CDBG will fund a measurable public improvement. Bedell Avenue will be re-surfaced. Bedell Avenue re-surfacing supports the preservation of a low to moderate-income Census Tract (406.02) and Block Group (2) within the City limits.
5	<b>Goal Name</b>	Youth supportive services
	<b>Goal Description</b>	CDBG funds youth services in a variety of ways among area agencies. Youth services funds daycare and afterschool programs operated by Auburn Daycare, JoyLand Daycare, Boys and Girls Club, Esperanza home and IAMBK afterschool. Twin Cedars Child Advocacy provides supportive counseling to youth and CDBG, also, provides Sports Vouchers for LMI youth assistance to youth involved in parks and recreation programming.
6	<b>Goal Name</b>	Family supportive services
	<b>Goal Description</b>	CDBG funds adult and children activities through the Auburn Housing Authority and the Family Resource Center related to transportation, educational development, personal development, and family counseling.
7	<b>Goal Name</b>	Senior supportive services
	<b>Goal Description</b>	CDBG funds senior activities through the East Alabama Food Bank (EAFB) and the East Alabama Senior Center (EASE) related to transportation assistance and the brown bag meal program. Both are weekly activities for LMI seniors within the City limit and residents of the EASE house.

<b>8</b>	<b>Goal Name</b>	Program administration
	<b>Goal Description</b>	The CDBG program administration category is set aside for the daily operations of Housing, Public Services, and Administration. Staff, salaries and expenses go to operate all programs.



# Projects

## AP-35 Projects – 91.220(d)

### Introduction

During past program years, the City has emphasized the need for affordable housing by focusing most of its CDBG resources toward construction of new single-family houses under agreement with the North Auburn Housing Development Corporation. During the period covered by the 2010-2014 Consolidated Plan, this partnership produced 29 new affordable housing units. However, the inventory of available building lots was temporarily exhausted and acquisition of additional lots proved to be problematic. This lack of available lots caused housing production to cease during the 2016 program year and funding was diverted to completion of the Boykin Community Center project.

The projects identified for funding under this Action Plan will provide CDBG funds to acquire property from a willing seller in order to restart these housing construction efforts.

The City's practice of taking applications from public service agencies has been continued and those agencies (described elsewhere herein) will constitute projects 2, 5, 6, and 7 below. Also being continued is the effort to provide rehabilitation (repair and maintenance) assistance to low- and moderate-income homeowners.

Public improvements in the form of street resurfacing will be a new item included this year's program.

### Projects

#	Project Name
1	Housing Rehabilitation
2	Public services to temporarily displaced
3	Property Acquisition
4	Street Improvement
5	Public Services - Youth services
6	Public services - Family supportive services
7	Public Services - Senior Services
8	Program Administration

Table 7 - Project Information

## **Describe the reasons for allocation priorities and any obstacles to addressing underserved needs**

In order to maintain its commitment to affordable housing, the grantee is programming funds for housing rehabilitation activities and the costs associated with the delivery of rehab activities. Assistance is provided through rehabilitation loans, and emergency repair grants. It is felt that this activity will aid in the preservation of the affordable housing stock.

For many years now, the CDD has sought to provide assistance to cost burdened households “at risk” of becoming homeless as a means of preventing them from becoming permanently displaced. To assist in preventing homelessness, qualified applicants are assisted with temporary shelter, mortgage or rent assistance, and assistance to allay utility disconnections. Such assistance is delivered either directly through the Community Development Division, or through the auspices of the Unity Wellness or the Salvation Army.

One way in which the City of Auburn has addressed the need for affordable housing in the past has been to assist a qualified non-profit housing development organization to build new affordable units, thereby increasing the inventory of affordable housing. In recent years, the lack of property on which to construct such units has stymied this effort. The CDD has identified property that it hopes to acquire with CDBG funds during this program year and subdivide into six or seven residential home sites. By undertaking this acquisition, the City will be able to restart construction of new affordable single-family housing units in future Annual Action Plans.

Through consultation with the City’s Public Works Department a number of streets located within the North Auburn neighborhood have been identified as in need of resurfacing. Therefore, this plan calls for resurfacing these streets as far as the budgeted funds will allow. The identified streets all serve this low- and moderate-income neighborhood containing a sizable public housing community.

This plan will also continue funding public services that provide assistance to youths, children, and senior citizens. These activities provide needed services to assist low- and very-low persons who lack the financial resources to avail themselves of these services.

Another public service activity to be assisted under this Plan is that of Family Supportive Services. Under this activity, the CDD will contract CDBG funds with the Auburn Housing Authority and the Family Resource Center to provide low-income families with educational classes, basic transportation, and family counseling. Such services are felt to be necessary in assisting low-income families to escape poverty.



**AP-38 Project Summary**  
**Project Summary Information**

1	<b>Project Name</b>	Housing Rehabilitation
	<b>Target Area</b>	
	<b>Goals Supported</b>	Housing Rehabilitation
	<b>Needs Addressed</b>	Rehabilitation-Reconstruction of exiting units
	<b>Funding</b>	CDBG: \$144,289
	<b>Description</b>	Community Development (CDBG) funds
	<b>Target Date</b>	5/31/2018
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	The Community Development Division, Presbyterian Community Ministry, and Alabama Rural Ministry will assist homeowners (both single-family and residential mobile home) with emergency household repair and rehabilitation. The agencies estimate they will assist ten (10) households with the amount of resources budgeted.
	<b>Location Description</b>	The Community Development Division is able to assist qualified applicants City-wide.
<b>Planned Activities</b>	Housing rehab and repair will assist homeowners to maintain the value of the owner's property and the integrity of their structure.	
2	<b>Project Name</b>	Public servcies to temporarily displaced
	<b>Target Area</b>	
	<b>Goals Supported</b>	Homeless emergency shelter
	<b>Needs Addressed</b>	Public Services
	<b>Funding</b>	CDBG: \$16,000
	<b>Description</b>	CDBG funds programs and services to families and individuals temporarily displaced. Salvation Army extends support to those in need of temporary housing and/or services. Unity Wellness extends services to those experiencing displacement and with HIV-AIDS. The Community Development Division assist LMI applicants of Auburn with Utility, Rental, and Mortgage direct assistance to help restore permanent services. It is estimated that 50 individuals will be assisted this program year.
	<b>Target Date</b>	5/31/2018
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	It is estimated that 50 individuals will benefit from these activities this program year.

	<b>Location Description</b>	Applicants must be residents of Auburn and must apply at one of the following places: The Community Development Division office, 1500 Pumphrey Avenue, Auburn, 36832; The Salvation Army, 1038 Opelika Road, Auburn, 36830; and/or, Unity Wellness, 122 North 20th Street, Opelika, 36801
	<b>Planned Activities</b>	Prevent homelessness by assisting qualified applicants with emergency services and counseling to assist in maintaining existing housing or providing temporary housing.
<b>3</b>	<b>Project Name</b>	Property Acquisition
	<b>Target Area</b>	
	<b>Goals Supported</b>	Land Acquisition
	<b>Needs Addressed</b>	Acquisition of vacant residential lots
	<b>Funding</b>	CDBG: \$216,577
	<b>Description</b>	CDBG funds will be used to acquire property to design and develop six (6) to seven (7) home lots for affordable housing.
	<b>Target Date</b>	5/31/2018
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	CDBG funds will be used to acquire property to design and develop six (6) to seven (7) home lots for affordable housing.
	<b>Location Description</b>	Northwest Auburn is predominantly low income neighborhood and part of a large LMI Census Tract (406.02) with two (2) sizeable LMI block groups.
	<b>Planned Activities</b>	The land purchase will provide marketable lots (6-7) for affordable housing planned in partnership with the North Auburn Housing Development Corporation (NAHDC).
<b>4</b>	<b>Project Name</b>	Street Improvement
	<b>Target Area</b>	
	<b>Goals Supported</b>	Street improvements
	<b>Needs Addressed</b>	Public Improvements and infrastructure
	<b>Funding</b>	CDBG: \$100,000
	<b>Description</b>	The street re-surfacing provides benefit to large predominately low income area of Auburn.
	<b>Target Date</b>	5/31/2018

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	The newly re-surfaced street is estimated to directly benefit 25 households within the Northwest Auburn neighborhood.
	<b>Location Description</b>	Bedell Avenue will be re-surfaced.
	<b>Planned Activities</b>	Street re-surfacing from Donahue Drive to 704 Bedell Avenue
5	<b>Project Name</b>	Public Services - Youth services
	<b>Target Area</b>	
	<b>Goals Supported</b>	Youth supportive services
	<b>Needs Addressed</b>	Public Services
	<b>Funding</b>	CDBG: \$27,000
	<b>Description</b>	This project will provide CDBG funds to Auburn and JoyLand daycares for LMI children of Auburn in order that adults of these household can work. Also funded are Twin Cedars Child Advocacy, IAMBK, Esperanza House, and Boys/ Club Girls for youth development and personal enrichment. CDBG funding will be used by Community Development to assist youth with a voucher to participate in parks and recreation programming
	<b>Target Date</b>	5/31/2018
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	It is estimated that approximately 100 youth will benefit from these various programs funded this program year.
<b>Location Description</b>	Auburn Daycare, JoyLand Daycare and Boys and Girls Club are located at 400 Boykin Street, Auburn, 36832; Applicants for other programming will apply at the following locations: Twin Cedars Advocacy, 1810 Commerce Drive, Opelika, 36801 IAMBK, 1438 Opelika Road, Auburn, 36830 Esperanza House, 1108 East Magnolia Avenue, Auburn, 36830 Sport Voucher, Community Development Division, 1500 Pumphrey Avenue, 36832	

	<b>Planned Activities</b>	The City will contract with Auburn daycare, JoyLand daycare, Boys and Girls Club, Twin Cedars Advocacy, Esperanza House, IAMBK to provide CDBG funds for the purpose of extending children and youth supportive services to LMI families with children and youth residing in Auburn. The Community Development Division will accept applications for assistance to enable youth to participate in parks and recreation athletic programs.
6	<b>Project Name</b>	Public services - Family supportive services
	<b>Target Area</b>	
	<b>Goals Supported</b>	Family supportive services
	<b>Needs Addressed</b>	Public Services
	<b>Funding</b>	CDBG: \$12,000
	<b>Description</b>	The Auburn Housing Authority and the Family Resource Center will assist LMI households of Auburn with educational activities, transportation services, and family counseling and development.
	<b>Target Date</b>	5/31/2018
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	It is estimated that this project will assist 100 LMI families and individuals of Auburn.
	<b>Location Description</b>	All activities will take place at the various sites of the Auburn Housing Authority and the Family Resource Center, 510 7th Avenue, Opelika, 36801.
	<b>Planned Activities</b>	The City will contract with the Auburn Housing Authority and the Family Resource Center to provide quality family services to LMI families of Auburn.
7	<b>Project Name</b>	Public Services - Senior Services
	<b>Target Area</b>	
	<b>Goals Supported</b>	Senior supportive services
	<b>Needs Addressed</b>	Public Services
	<b>Funding</b>	CDBG: \$4,000
	<b>Description</b>	Senior services in the form of the brown bag program will benefit individuals with weekly nutritional meals from the East Alabama Food Bank. Elderly transportation services will be provided by East Alabama Services for the Elderly and extend to LMI residents with transportation for meals and essential appointments.



	<b>Target Date</b>	5/31/2018
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	It is estimated that approximately 100 seniors will benefit from these activities funded this program year.
	<b>Location Description</b>	The brown bag program will be provided by the Food Bank: EAFB, 375 Industry Drive, Auburn, 36832 EASE House, 1300 Commerce Drive, Auburn, 36830
	<b>Planned Activities</b>	Senior services in the form of the brown bag program and elderly transportation services will be provided by East Alabama Food Bank and East Alabama Services of the Elderly respectively.
8	<b>Project Name</b>	Program Administration
	<b>Target Area</b>	
	<b>Goals Supported</b>	Program administration
	<b>Needs Addressed</b>	CD program Administration
	<b>Funding</b>	CDBG: \$92,700
	<b>Description</b>	Community Development (CDBG) funds the daily operations of the program administration.
	<b>Target Date</b>	5/31/2018
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Program administration provides necessary support to enable the City to realize all benefits set forth this Annual Action Plan.
	<b>Location Description</b>	Administration of the CDBG program is handled from the office of the Community Development Division, 1500 Pumphrey Avenue, 36832.
	<b>Planned Activities</b>	Administration funds provide salaries and expenses for the CDBG staff.

**AP-50 Geographic Distribution – 91.220(f)**

**Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

Based upon the information contained in the Con Plan/Annual Action Plan needs analysis, and the resources available to meet those needs, the City of Auburn does not choose to allocate its resources to a specific target area. Within this new fiscal year the completion of the renovation of the Boykin Community Center will be carried into this fiscal year. This Center serves the portion of census tract 406.02 identified as the North Auburn neighborhood, however, low-income residents throughout the City may avail themselves of the services housed there. CDBG dollars will also be used to re-surface a street in this neighborhood.

At the same time, funds budgeted to housing activities and public services programs will benefit families and individuals based upon income requirements. Where Auburn's CDBG dollars benefit families and individuals City-wide according to income and household size, it is also reasonable to consider most beneficiaries in Auburn reside in Census tract 406.02 or an adjacent census tract or block group.

**Geographic Distribution**

<b>Target Area</b>	<b>Percentage of Funds</b>

**Table 8 - Geographic Distribution**

## **Rationale for the priorities for allocating investments geographically**

The City of Auburn does not choose to allocate its CDBG resources to a specific targeted geographic area. Not selecting a specific target area allows the City to invest Community Development funds in areas throughout the city to assist low to moderate-income households and individuals wherever they reside.

## **Discussion**

The City will continue City-wide community development efforts related to housing, public services, and public improvements. These projects and activities are offered and available to all low and moderate-income citizens of the City.

## Affordable Housing

### AP-55 Affordable Housing – 91.220(g)

#### Introduction

Affordable housing is among the highest priority need for the City of Auburn. As part of this Action Plan the Community Development Division will make a property acquisition of vacant land. Once acquired, this land will be subdivided to provide ready to build residential lots for use in construction of new affordable single-family housing units. While this activity should be completed during the 2017 program year, it is anticipated that actual construction of new units will not commence prior to the 2018 program year. Therefore, the following is the goal set for affordable housing during the period covered by this Action Plan:

<b>One Year Goals for the Number of Households to be Supported</b>	
Homeless	0
Non-Homeless	0
Special-Needs	0
Total	0

**Table 9 - One Year Goals for Affordable Housing by Support Requirement**

<b>One Year Goals for the Number of Households Supported Through</b>	
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	0
Acquisition of Existing Units	0
Total	0

**Table 10 - One Year Goals for Affordable Housing by Support Type**

#### Discussion

Affordable housing remains a need in the City and the Community Development staff will continue to try to identify programs and resources that will help to address this issue.

## **AP-60 Public Housing – 91.220(h)**

### **Introduction**

Public housing is an important source of providing housing for low and extremely low-income families and individuals. It represents a significant housing asset to the City of Auburn and the City supports the Auburn Housing Authority Board and Staff and works closely in addressing the needs of public housing residents and facilities.

### **Actions planned during the next year to address the needs to public housing**

FY2017 CDBG will serve public housing in the Auburn Housing Authority in the completion of the Boykin Community Center which public housing households depend on for services. Public housing families and individuals are considered in the action plan budget with respect to public service programming for LMI households.

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

The Auburn Housing Authority has undertaken a lengthy list of resident initiatives in its ongoing efforts to improve the living environment for families and households residing in public housing. The following is a list of those resident initiatives:

- Offers a Free Health Clinic in partnership with the School of Nursing at Auburn University.
- Observance of the National Night Out, a nationwide crime fighting initiative, undertaken in partnership with the Auburn Police Department.
- Has an application pending under the Housing Choice Voucher Homeownership Program, to assist housing voucher tenants to undertake the goal of homeownership.
- Operates a Family Self Sufficiency (FSS) Program that enrolls tenants of either public housing or housing choice voucher units on a volunteer basis. These volunteers sign up for the FSS for the purpose of achieving self-sufficiency and reducing their dependency on public assistance. This program offers participants education opportunities, job training, financial counseling, and other assistance, all with the goal of making them self-reliant.
- Provides a community building to serve as a Senior Center providing a feeding program and

activities for elderly residents.

- Offers mentoring classes for youth residents.
- Offers a Career Planning Class through Auburn Unites which is CDBG funded.
- Offers Budgeting Classes to its residents.
- Participates in a Job Access Reverse Commute (JARC) grant program funded through federal transit funding. This program provides transportation to allow low income persons the ability to get to and from employment locations.

These initiatives represent a well-developed and coordinated strategy for improving the living environment and the personal lives of public housing residents.

**If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance**

Auburn Housing Authority is considered a high performing PHA and is not designated as "troubled".

**Discussion**

The Auburn Housing Authority is a well-managed public entity. AHA is currently considering developing affordable housing in the City of Auburn as an extension of the services to the LMI population.

## **AP-65 Homeless and Other Special Needs Activities – 91.220(i)**

### **Introduction**

The Consolidated Plan/ Action Plan process identifies homelessness as a lower priority need in the City of Auburn. The City will continue to fund emergency assistance programming that provides financial assistance for households and individuals who have lost their homes due to fire, utility disconnections, family separations or other emergencies. In those instances where families or individuals find themselves suddenly evicted or dislodged, this program pays hotel bills for short stays to enable clients to make arrangements for more permanent shelter. Experience has shown that this program will handle between 35-50 persons a year. Along with this, in this program year, the Salvation Army will be funded by CDBG and local stakeholders will fund emergency assistance to provide additional services such as food, clothing, and other supportive services. Unity Wellness will work with HIV/AIDS patients providing housing services using CDBG funding to assist those at risk of displacement due to their HIV-AIDS.

### **Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including**

#### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

From the analysis made in preparing the Consolidated Plan, there is not the existence of a chronic homelessness problem. Rather homelessness is most often the result of sudden dislocation caused by loss of job, family violence, illness, fire or natural disasters. Therefore, the City's actions for the period covered by this Annual Plan are those discussed in the above introduction.

The City of Auburn will continue to cooperate in the annual Point-in-Time Count to locate and identify homeless persons that may be found in its jurisdiction. Should such persons be located, they will be referred to appropriate agencies and services.

## **Addressing the emergency shelter and transitional housing needs of homeless persons**

CDBG funding will continue to fund emergency assistance programming providing financial assistance to those who have lost their homes due to tragedy, utility disconnections, family separations, emergency, etc. The CCD staff will reach out to area agencies on behalf of those in need of transitional houses to meet their household needs in the period in which they are displaced from permanent housing.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

As part of the FY2017 Action Plan funding proposal, the City plans to make CDBG expenditures for homelessness specific to emergency assistance and assistance to those persons at risk of becoming homeless. These expenditures also include assistance with supportive services.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services,**



## **employment, education, or youth needs.**

Local agencies are also available to help address the services required by individuals released from institutional facilities and homes. The staff of the Community Development Division seeks diligently to coordinate with such agencies to assist those persons that come to their attention.

## **Discussion**

Consultation with homeless shelter providers in Lee County and examination of the point-in-time count of homeless persons has revealed that homelessness is not prevalent in Auburn in the same terms as other communities in the State. Homelessness is most often the result of emergency situations temporarily rendering households homeless. Auburn does offer a short-term hotel stay to provide shelter to low-income persons in these situations. This Program Year, the City will also provide a small amount of funding to assist emergency homeless persons through the Salvation Army with clothing, food, and other supportive services . Unity wellness will extend needed support to the LMI Auburn HIV/AIDS population. Experience has shown that within a couple of days these households are able to make arrangements for other shelter.



## **AP-75 Barriers to affordable housing – 91.220(j)**

### **Introduction:**

The City of Auburn has looked at its governmental policies to determine if such policies are having a negative effect on production of affordable housing. This section addresses those findings.

### **Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

The Analysis of Impediments (AI) 2015-2019 provides an extensive discussion of the negative effects of public and private practice on affordable housing and residential investment. The AI identifies items required by the City's new energy code and its subdivision regulations that add additional costs that must be eventually passed on by the housing developer to the homebuyer or rental tenant. While these items were identified, it was considered that the overall benefit to the eventual occupant and the public at-large outweighed the negative impact of the additional costs. The AI identifies the lack of affordable rental housing options as it relates to a large student population residing in the City as a group living off campus but enrolled at Auburn University. Lastly, the AI points to transportation being improved as a means of supporting those in need of affordable housing, especially, rental housing. The City works with the Lee-Russell Council of Governments, the Metropolitan Planning Organization and the Auburn Housing Authority to improve the transit options available to its citizens.

### **Discussion:**

The Consolidated Plan (2015-2019) Market Analysis section along the Analysis of Fair Housing (2015) provides an extensive discussion of the negative effects of public policies on affordable housing and residential investment. This section identifies items required by the City's new energy code and its subdivision regulations that add additional costs that must be eventually passed on by the housing developer to the homebuyer or rental tenant. While these items were identified, it was considered that the overall benefit to the eventual occupant and the public at-large outweighed the negative impact of

the additional costs.

## **AP-85 Other Actions – 91.220(k)**

### **Introduction:**

This section will address actions that the jurisdiction will or will not take to deal with the items that follow.

### **Actions planned to address obstacles to meeting underserved needs**

The CDBG will fund a number of public service programs to meet underserved needs of youths, children, families, and elderly persons. The City also plans to initiate affordable home design and development and undertake a street re-surfacing project in a LMI neighborhood.

### **Actions planned to foster and maintain affordable housing**

The CDBG program will continue to plan for the renewal of a housing construction program in conjunction with the North Auburn Housing Development Corporation, a Community Based Development Organization (CBDO). This organization builds and markets affordable homes to qualified low- and moderate-income homebuyers, but has been hampered by the exhaustion of available building lots within its community. Under this Annual Action Plan, property to provide such building lots will be acquired and developed.

In addition, the Community Development Division will continue its housing repair and maintenance program to assist units occupied by low-income households. This program helps maintain low-income households in their homes.

### **Actions planned to reduce lead-based paint hazards**

Houses accepted for assistance under the housing repair and maintenance program that were built prior to 1978 will be tested for lead-based paint. Should LBP be detected, the house will be treated or abated in accordance with federal guidelines.

### **Actions planned to reduce the number of poverty-level families**

The City's strategy for reducing poverty is to undertake an aggressive economic development program that includes the recruitment of new and expanding industries, assistance to existing industries and businesses, and encouragement of entrepreneurial start-ups, coupled with an innovative approach to job training and education. For a more thorough discussion of this matter see the Non-Housing Community Development Assets section of the Consolidated Plan which contains an extensive discussion of the City's economic development successes and the role of the Auburn Training Connection in that success.

In addition to the efforts of the Economic Development Department, the Public Housing Authority offers a Family Self-Sufficiency Program aimed at assisting residents of public housing to participate in education opportunities, job training, financial counseling, and other types of self-improvement programs. The ultimate goal is to make the program participants self-reliant. The PHA also offers Career Planning Classes and a federal transit funded Jobs Access Reverse Commute (JARC) program that improves transportation for low-income persons that enable them to access available jobs.

### **Actions planned to develop institutional structure**

It is felt that the existing institutional structure is adequate for a small CDBG program. There are no specific plans to develop additional institutional structure.

### **Actions planned to enhance coordination between public and private housing and social service agencies**

Staff of the Community Development Division (CDD) continues to attend meetings of social service

providers such as those sponsored by the United Way, Goodwill, Alabama Council for Human Relations, Lee Russell Council of Governments and others. These meetings are a valuable way to let other agencies know of CDBG activities and to assess where social service agencies can assist the efforts of the CDD.

CDD has regular contact with the Director and Staff of the Public Housing Authority and discusses ways in which the CDBG program can benefit public housing facilities and residents.

Private housing developers seek out the CDD when planning applications for Low Income Housing Tax Credits or other programs of the Alabama Housing Finance Authority. Applications for financial subsidies from AHFA generally require letters of consistency with the City's Consolidated Plan.

### **Discussion:**

CDBG plans to fund a variety of programs/activities to support area LMI households with affordable housing, emergency assistance to prevent homelessness, public housing, special needs activities, and community improvements. Throughout this plan and the 2015-2019 Consolidated Plan these areas have been addressed related to the level of needs, priorities, goals and outcomes.

Perhaps the most important actions taken by the City of Auburn have been its commitment to Economic Development and the establishment of the Auburn Training Network to educate and train the City's workforce. The provision of a well-paying job is ultimately the most important action that any jurisdiction can take to address the issues related to poverty.

## Program Specific Requirements

### AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

#### Introduction:

The City has budgeted all of the CDBG expected to be available during Program Year 2017, including all of the program income estimated to be received during this program year. No funds have been set aside for contingency expenses.

During Program Year 2012 the City made application for the maximum amount of Section 108 loan authority available under federal regulations governing that program. During the period covered by this Annual Plan, approximately \$476,000 remains available for economic development loans to eligible borrowers. All Section 108 loan proceeds and any program income realized from such economic development loans are governed by the terms of the Section 108 Loan Agreement between HUD and the City of Auburn, and are not available for addressing other needs and objectives identified in the strategic plan.

### Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>0</b>

### Other CDBG Requirements

1. The amount of urgent need activities	0
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2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.

100.00%

The City of Auburn believes it has complied with all program specific requirements related to CDBG.

Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. The City of Auburn hereby specifies that it will meet required minimum overall benefit during this one program year covered by this Annual Action Plan.

## Attachments

## Citizen Participation Comments

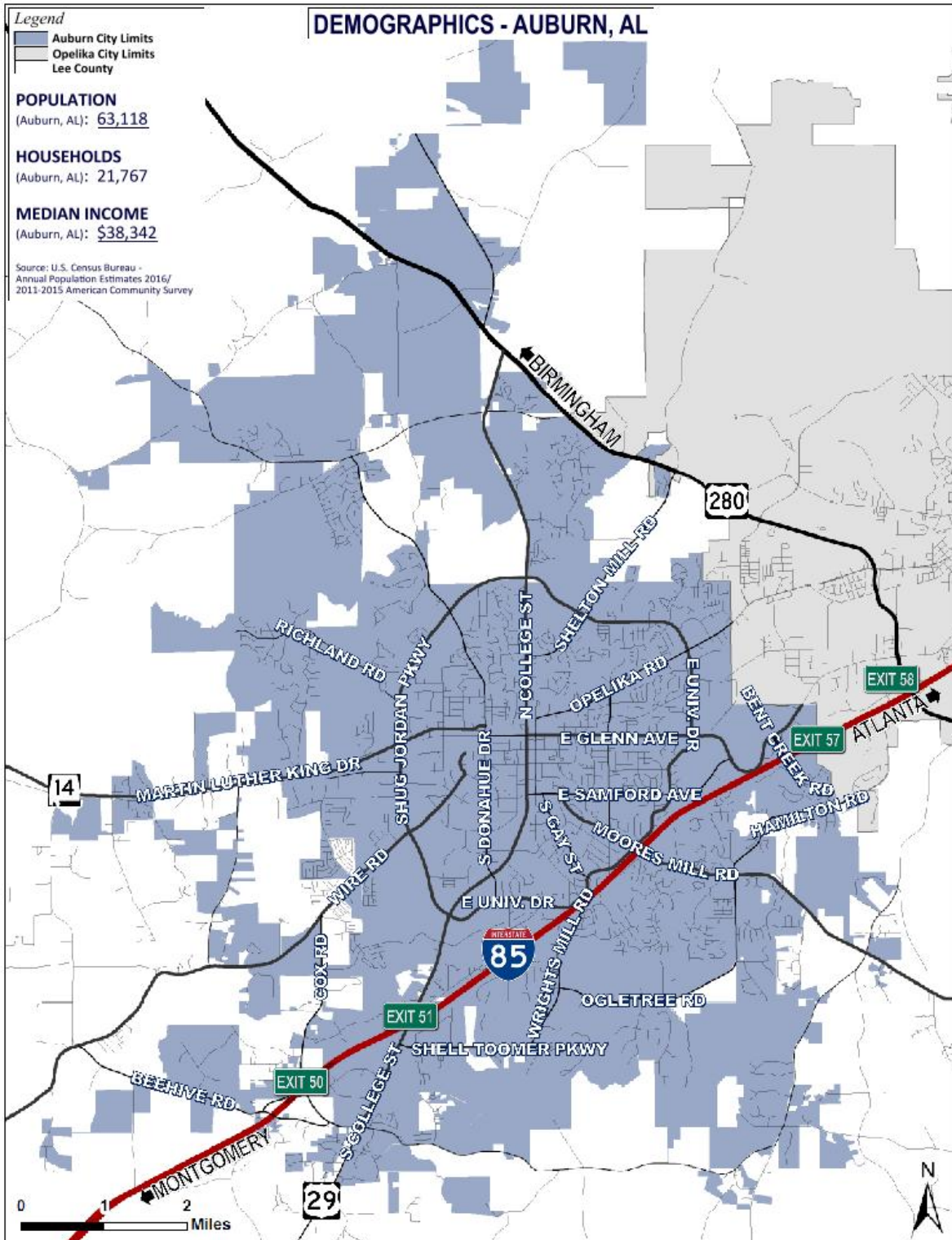
**CDBG 2017  
Request for Funding Availability  
Action Plan FY17  
Citizen Comments**

A public hearing was held on January 11, 2017 to inform citizens and area agencies of FY2017 CDBG funding availability and the development of the Action Plan 2017. Below are citizen comments:

<b>Comment</b>	<b>Response/Status</b>
CM (a representative of Auburn Housing Authority - AHA) commented that projects funded by CDBG included career development activities, student residents supportive services, and transportation. She reported to that AHA intends to make application for 2017 funding to broaden services to residents.	CM's comments were noted and helpful to the participants. The AHA work with the CDBG funding has been very successful.
WJ (a representative of Southeastern Minority Association-SEAMA) commented that SEAMA hope to be funded to continue emergency housing repair grants. He point out his organization could benefit from Section 108 funding. WJ requested information related to the 108 funding.	WJ was a provided the details and application for Section 108 small business loans. SEAMA dissolved as a non-profit in May 2017.
WL (a representative of Boys and Girls Club – B/G Club) expressed the Boykin renovation project would create expenses for the B/G Club budget. She wanted to explore options for paying summer expenses for activities away the Boykin Center.	B/G Clubs were provided plan renovated space for summer activities. B/G rental fees were waived during construction. The fees waiver allowed funding to provide for unexpected summer cost.
LC (a representative of Esperanza House - EH) asked questions for basic information related to CDBG funding for EH programming for Auburn City School youth and after-school supportive services.	LC gathered CDBG basics on national objectives and eligible activities, funding availability and the AP FY2017.

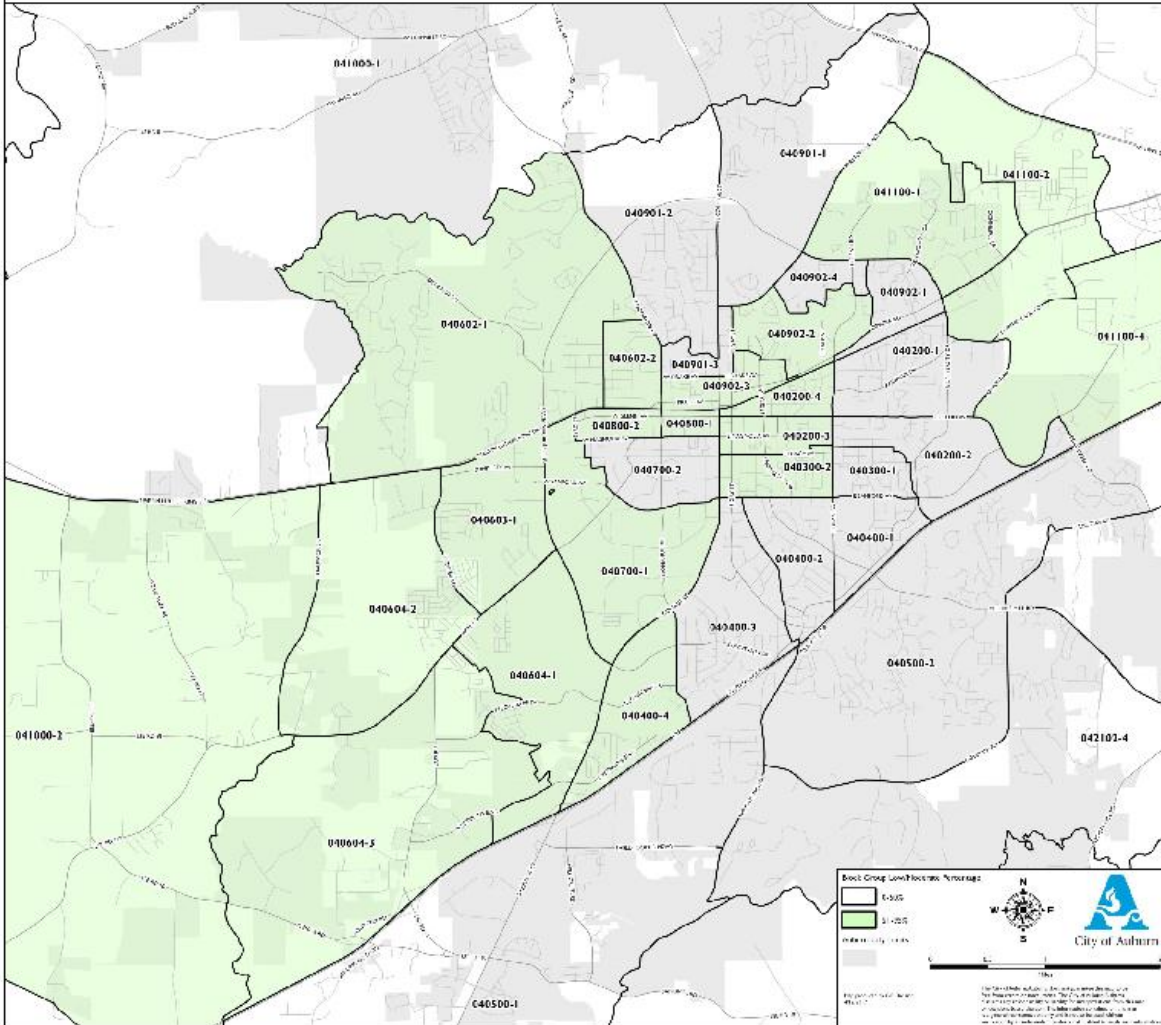


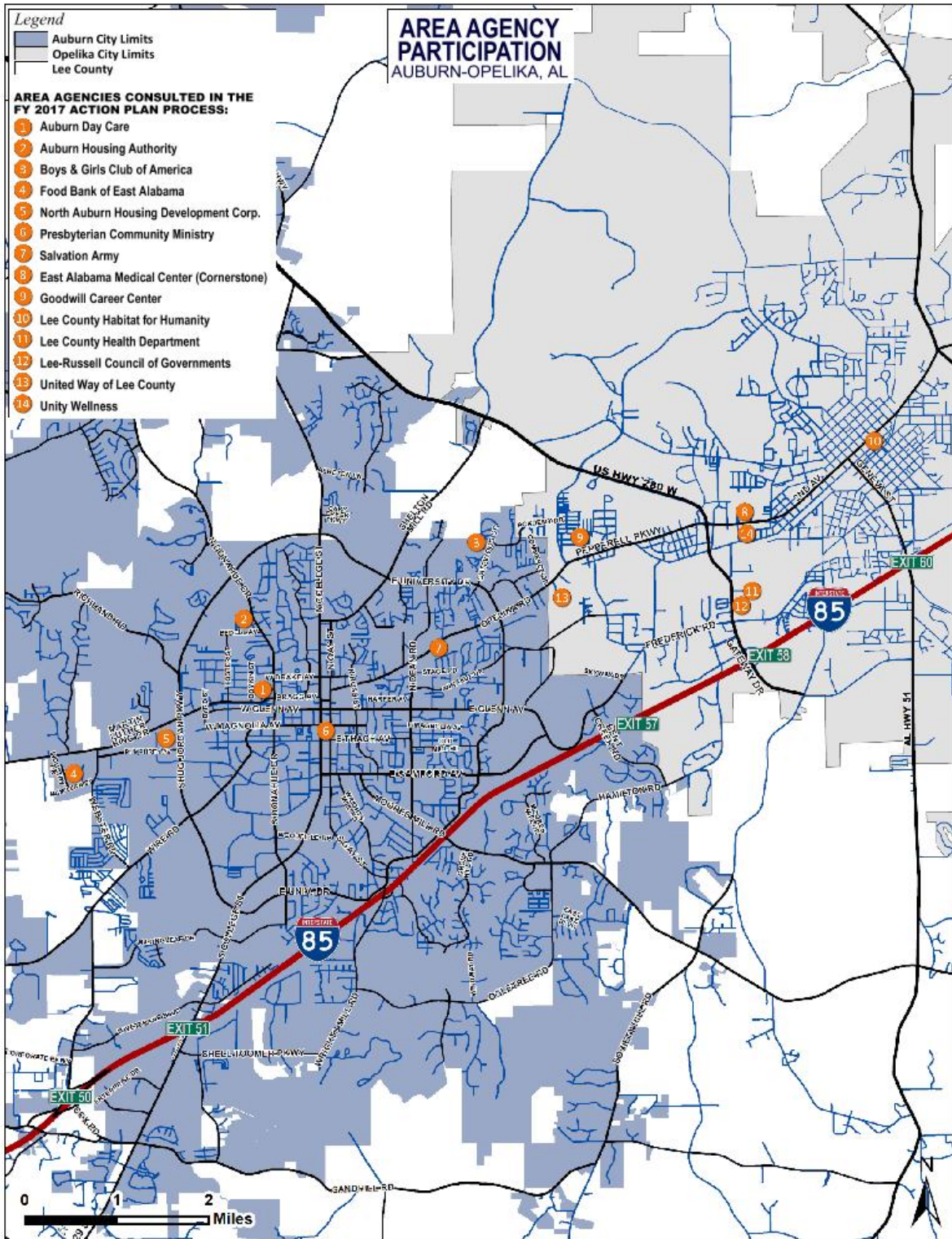
Grantee Unique Appendices



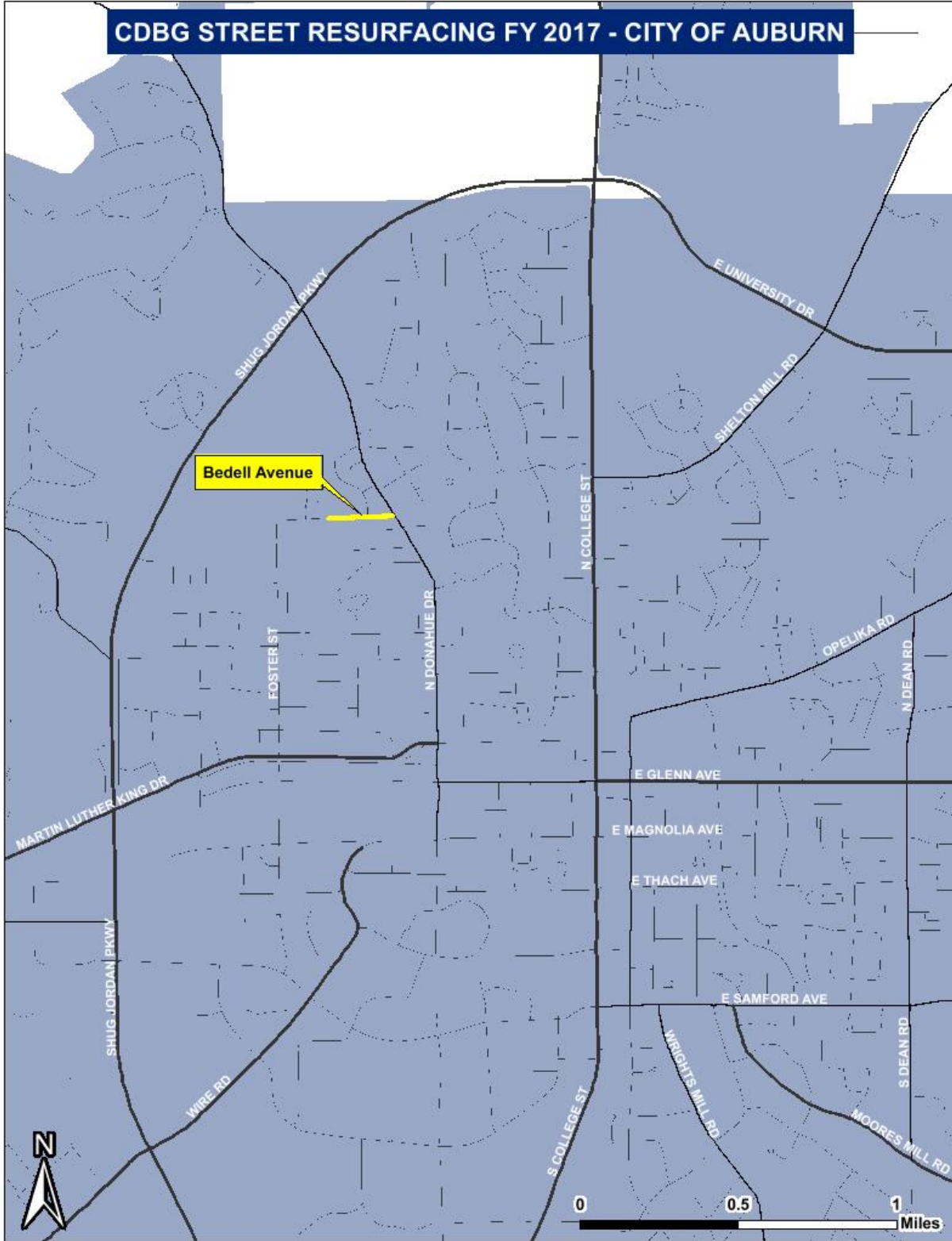
## CENSUS TRACT & BLOCK GROUP DATA - CITY OF AUBURN

<b>040200-3</b> Total Population: 1,897 Race - White%/Black%: 69%/9% # Households: 826 Median Home Value: \$225,300	<b>040200-4</b> Total Population: 971 Race - White%/Black%: 77%/14% # Households: 507 Median Home Value: \$107,800	<b>040300-2</b> Total Population: 767 Race - White%/Black%: 81%/10% # Households: 767 Median Home Value: \$202,100	<b>040400-4</b> Total Population: 3,525 Race - White%/Black%: 63%/26% # Households: 1,583 Median Home Value: \$93,200	<b>040602-1</b> Total Population: 4,520 Race - White%/Black%: 52%/38% # Households: 1,712 Median Home Value: \$178,800
<b>040602-2</b> Total Population: 714 Race - White%/Black%: 18%/82% # Households: 243 Median Home Value: \$93,500	<b>040603-1</b> Total Population: 1,190 Race - White%/Black%: 60%/39% # Households: 462 Median Home Value: \$16,100	<b>040604-1</b> Total Population: 2,742 Race - White%/Black%: 73%/20% # Households: 1,152 Median Home Value: \$90,900	<b>040604-2</b> Total Population: 943 Race - White%/Black%: 93%/3% # Households: 452 Median Home Value: \$15,300	<b>040604-3</b> Total Population: 1,844 Race - White%/Black%: 59%/17% # Households: 600 Median Home Value: N/A
<b>040700-1</b> Total Population: 2,111 Race - White%/Black%: 82%/10% # Households: 251 Median Home Value: \$117,700	<b>040800-1</b> Total Population: 1,250 Race - White%/Black%: 63%/11% # Households: 627 Median Home Value: N/A	<b>040800-2</b> Total Population: 2,060 Race - White%/Black%: 85%/9% # Households: 1,065 Median Home Value: \$147,900	<b>040902-2</b> Total Population: 2,877 Race - White%/Black%: 58%/15% # Households: 1,096 Median Home Value: \$162,900	<b>040902-3</b> Total Population: 1,631 Race - White%/Black%: 58%/20% # Households: 765 Median Home Value: N/A
<b>041000-2</b> Total Population: 2,811 Race - White%/Black%: 29%/71% # Households: 1,141 Median Home Value: \$57,100	<b>041100-1</b> Total Population: 1,365 Race - White%/Black%: 82%/4% # Households: 597 Median Home Value: \$178,600	<b>041100-2</b> Total Population: 997 Race - White%/Black%: 65%/27% # Households: 419 Median Home Value: \$218,800	<b>041100-4</b> Total Population: 2,140 Race - White%/Black%: 55%/34% # Households: 668 Median Home Value: \$121,000	





# CDBG STREET RESURFACING FY 2017 - CITY OF AUBURN





**Grantee SF-424's and Certification(s)**

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: 08/10/2017	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: 0-0130 010013	5b. Federal Award Identifier: 14.217	
<b>State Use Only:</b>		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
<b>8. APPLICANT INFORMATION:</b>		
* a. Legal Name: City of Auburn		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 63-8501185	* c. Organizational DUNS: 001567250000	
<b>d. Address:</b>		
* Street1: 1500 Parkway Avenue	Street2: <input type="text"/>	
* City: Auburn	County/Parish: <input type="text"/>	
* State: AL: Alabama	Province: <input type="text"/>	
* Country: USA: UNITED STATES	* Zip / Postal Code: 36832-4300	
<b>e. Organizational Unit:</b>		
Department Name: Economic Development	Division Name: Community Development	
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
Prefix: Mr.	* First Name: Todd	
Middle Name: E.	* Last Name: White	
Suffix: <input type="text"/>	Title: Director of Community Development	
Organizational Affiliation: <input type="text"/>		
* Telephone Number: 334-501-7277	Fax Number: 334-501-7309	
* Email: twhite@auburnalabama.org		

Application for Federal Assistance SF-424	
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="0: City or Township Government"/> Type of Applicant 2: Select Applicant Type: <input type="text"/> Type of Applicant 3: Select Applicant Type: <input type="text"/> * Other (specify): <input type="text"/>	
<b>* 10. Name of Federal Agency:</b> <input type="text" value="U.S. Department of Housing and Urban Development"/>	
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text"/> CFDA Title: <input type="text"/>	
<b>* 12. Funding Opportunity Number:</b> <input type="text" value="Community Development Block Grant"/> * Title: <input style="background-color: yellow;" type="text"/>	
<b>13. Competition Identification Number:</b> <input type="text"/> Title: <input type="text"/>	
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>* 15. Descriptive Title of Applicant's Project:</b> <input style="background-color: yellow;" type="text"/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant:  \* b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

**17. Proposed Project:**

\* a. Start Date:  \* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="512,556.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program income	<input type="text" value="100,000.00"/>
* g. TOTAL	<input type="text" value="512,556.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes  No

If "Yes", provide explanation and attach

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:

Middle Name:


\* Last Name:

Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:  \* Date Signed:

\* Signature of Authorized Representative: 

**CERTIFICATIONS**

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** --The jurisdiction will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

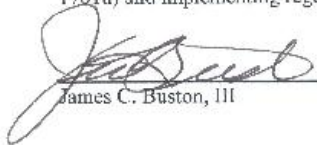
**Anti-Lobbying** --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-L.L.J., "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub awards at all tiers (including subcontracts, sub grants, and contracts under grants, loans, and cooperative agreements) and that all sub recipients shall certify and disclose accordingly.

**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.

  
James C. Buston, III

8-10-17  
Date

\_\_\_\_\_  
City Manager

## Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

**Following a Plan** -- It is following a current consolidated plan that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) \_\_\_\_\_ [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

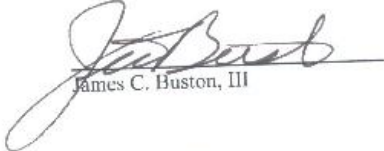
**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

**Compliance with Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

**Compliance with Laws** -- It will comply with applicable laws.

  
James C. Buston, III

8-10-17  
Date

\_\_\_\_\_  
City Manager

**OPTIONAL Community Development Block Grant Certification**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

\_\_\_\_\_  
Signature of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title



## Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

**Major rehabilitation/conversion/renovation** – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

**Matching Funds** – The recipient will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

## Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

**Major rehabilitation/conversion/renovation** – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

**Matching Funds** – The recipient will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

**Discharge Policy** – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

\_\_\_\_\_  
Signature of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title

**Housing Opportunities for Persons With AIDS Certifications**

The HOPWA grantee certifies that:

**Activities** -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

**Building** -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

\_\_\_\_\_  
Signature of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title

**APPENDIX TO CERTIFICATIONS**

**INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:**

**Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

